

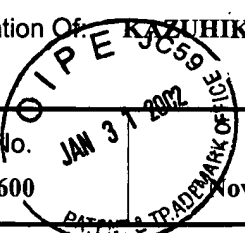
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15113

In Re Application Of: KAZUHIKO HAYASHI, et al.

Serial No.
09/991,600



Filing Date
November 23, 2001

Examiner
unassigned

Group Art Unit
unassigned

Title: **LIGHTING DEVICE LIQUID CRYSTAL DISPLAY DEVICE INCLUDING THE SAME,
AND METHOD OF FABRICATING THE SAME**

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **19-1013 SSMP** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____ (Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on **Jan 11, 2002** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.6 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Michelle Mustafa

Typed or Printed Name of Person Mailing Correspondence

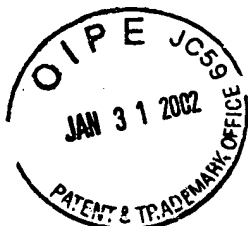
*This certificate may only be used if paying by deposit account.

Dated: **January 11, 2002**

Signature

Paul J. Esatto, Jr.
Registration No. 30,749
Scully, Scott, Murphy & Presser
400 Garden City Plaza
Garden City, New York 115430
(516) 742-4343

CC:



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PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kazuhiko Hayashi, et al.

Examiner: Unassigned

Serial No: 09/991,600

Art Unit: Unassigned

Filed: November 23, 2001

Docket: 15113

For: LIGHTING DEVICE, LIQUID CRYSTAL
DISPLAY DEVICE INCLUDING THE SAME,
AND METHOD OF FABRICATING THE SAME

Dated: January 11, 2002

Assistant Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Unexamined Patent Publication No. 2000-29008 dated January 28, 2000.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231 on January 11, 2002.

Dated: January 11, 2002



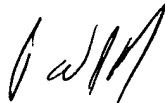
Michelle Mustafa

2. Japanese Unexamined Patent Publication No. 2000-19330 dated January 21, 2000.
3. Japanese Unexamined Patent Publication No. 11-326903 dated November 26, 1999.
4. Japanese Unexamined Patent Publication No. 10-50124 dated February 20, 1998.
5. Japanese Unexamined Patent Publication No. 10-268308 dated October 9, 1998.
6. Japanese Unexamined Patent Publication No. 11-202799 dated July 30, 1999.
7. Japanese Unexamined Patent Publication No. 11-326898 dated November 26, 1999.
8. Japanese Unexamined Patent Publication No. 2000-155315 dated June 6, 2000.

Applicants are submitting a copy of the above-cited references. The relevance of the above-identified references has been described in the specification.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



Paul J. Esatto, Jr.

Registration No.: 30,749

Scully, Scott, Murphy & Presser
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343

PJE:eg